Notes
Please read these notes before completing the Model Constitution

- Guidance notes are available throughout this document to help explain certain points
- A glossary of terms is contained under clause 14. All terms referenced in the glossary are highlighted in bold
- Please complete sections 1.1 to 1.3 on page 2 using BLOCK CAPITALS
- For paper based applications (ie not online applications) fast track charity registration taking approximately six weeks is available to all members using the PTA-UK Model Constitution without change (apart from completing clauses 1.1 to 1.3). This can be reduced to ten working days if the application is made online
- Any Association wishing to vary the PTA-UK Model Constitution will need to agree changes directly with the Charity Commission and will not be eligible for the fast track scheme. Independent legal advice may be required to amend this Constitution
- The PTA-UK Model Constitution is the copyright of PTA-UK and may be used by PTA-UK Member Associations only
- The charity created by this Constitution is an unincorporated Association and as such the Committee, who are trustees of the charity, are personally liable for the acts and defaults of the charity
- Whilst it may be unusual for a PTA is taking on loans, building works or other liabilities, employing staff or giving advice to the public, PTA-UK recommends establishing the charity as a company limited by guarantee in order to obtain the protection of limited liability for the Committee. Alternatively, a Charitable Incorporated Organisation when these become available. Please see the model memorandum and articles of Association for charity companies (CO1) available from charitycommission.gov.uk

November 2011

Model Constitution for Parent Teacher Associations (England and Wales)

The PTA-UK Model constitution is for use by Parent Teacher Associations (PTAs) and other home-school groups that are members of PTA-UK in England and Wales. A Model Constitution for PTA-UK members in Northern Ireland is available at pta.org.uk or from the PTA-UK Advice Line 0845 850 5460.

The PTA-UK Model Constitution must be adopted by the Association before it can be used. See the PTA-UK Information sheet - PTA-UK Model Constitution available at pta.org.uk for further guidance.

The declaration on the last page of this document must be signed by the Chair of the meeting at which it is adopted and witnessed by a member of the Association who is present at the meeting.

Charity Registration
To register as a charity, send the completed PTA-UK Model Constitution to the Charity Commission for England and Wales:

Charity Commission Direct
PO Box 1227
Liverpool
L69 9UC

0845 300 0218
charitycommission.gov.uk

This should be accompanied by a completed application form (CC5a) and trustee declaration (CC5c). Both forms are available at pta.org.uk and are included in the PTA-UK charity registration pack. Keep a copy of the signed and witnessed Constitution for your PTA records.

For further information, see the PTA-UK Information sheet Charity Registration England and Wales.

Online Charity Registration
It is now possible to apply for charity registration online. This is particularly useful if registration is needed quickly as online applications can take as little as ten working days.
1. VARIABLES LIST

1.1 Type of association
The type of Association depends on its membership. Please see guidance under clause 4 for further information.

1.2 Name
We suggest X School PTA or The PTA of X School. If the suggested name of your Association is too similar to another charity already listed on the Charity Commission Register of Charities and might cause confusion, the Charity Commission may ask for it to be changed. Check the Register of Charities before choosing a name, available at charitycommission.gov.uk.

1.3 School
Please complete the full name and address of the school.

1.4 The Committee
It is normal for the size of the Committee to vary. It is usual to have a named Chair, Treasurer, and Secretary and then a number of other committee members. The minimum number with which it is possible to continue operating is two; usually a Chair and a Treasurer (see clause 6.3.5). There is no restriction on the maximum number of committee members/trustees. However, be careful that this does not become too large. An AGM is only quorate if the number of members present is at least two times the number of committee members/trustees in office at the start of the meeting (clause 5.3). Once the Committee has been elected you can then calculate the maximum number of co-opted members; up to 50% of the total number of elected committee members/trustees (clause 6.1.2). For example, a Committee with eight committee members/trustees would be able to co-opt four further committee members/trustees (6.1.2).

2. OBJECTS
The object of the Association (the Objects) is to advance the education of pupils in the school in particular by:

2.1 Developing effective relationships between the staff, parents and others associated with the school;

2.2 Engaging in activities or providing facilities or equipment which support the school and advance the education of the pupils.

3. POWERS
The committee members/trustees have the following powers, which may be exercised only in promoting the Objects:

3.1 To provide advice

3.2 To publish or distribute information

3.3 To co-operate with other bodies

3.4 To raise funds (but not by means of permanent trading)

3.5 To acquire or hire property of any kind

3.6 To make grants or loans of money and to give guarantees
It is suggested that an Association maintain reserves to cover planned expenditure and to meet the kind of expenditure which may be required at short notice. However, reserves should not be built up without an agreed Committee decision, or be excessive in relation to the amount known or reasonably estimated to be required. Monies raised by the Association must be spent on achieving the Objects.

3.9 The Association should ensure that it has adequate insurance in place for all of its activities. Full membership of PTA-UK automatically provides public liability and personal accident insurance to cover Association meetings, activities, and the committee members/trustees.

3.10 This clause covers employees, independent contractors and volunteers. Care should always be taken by a charity wishing to employ someone, even part-time but special care is required if it is proposed to employ a committee member/trustee. (See clause 9.2 and 9.3. Further guidance is also available from the Charity Commission.)

3.15 This "blanket provision" is intended to cover any other power not specifically mentioned. The Association is still restricted to undertaking activities to achieve the Objects.

4. MEMBERSHIP

4.1 The membership will vary according to the type of Association as set out in this Clause.

4.2.3 It is unusual for a Member of a charity to be removed from membership, but if this happens it must only be done for good reason e.g. because the Member's presence represents a danger to the school or its pupils. Under the rules of Natural Justice, the Member concerned must be given an opportunity to state his/her case before a final decision is taken and any decision to remove a Member must be justifiable.

Like any other Committee decision this will be made by a simple majority of the votes cast at the meeting (see clause 7.4). It is recommended that any such decision be recorded in the minutes of the Committee meeting.

If you remove a Member who is also a committee member/trustee, they are automatically removed from the Committee under clause 6.5.4. However, removal as a committee member/trustee does not automatically mean that the individual is also removed as a Member, so if you want to remove someone who is no longer a committee member/trustee from membership of the charity as well, you will have to use this provision in 6.2.

3.7 To set aside funds for special purposes or as reserves against future expenditure

3.8 To deposit or invest funds in any lawful manner (but to invest only after obtaining advice from a financial expert and having regard to the suitability of investments and the need for diversification)

3.9 To take out public liability and personal accident insurance to cover Association meetings, activities, committee members/trustees, to insure the Association's property against any foreseeable risk and take out other insurance policies to protect the Association where required

3.10 To employ paid or unpaid agents, staff or advisers

3.11 To enter into contracts to provide services to or on behalf of other bodies

3.12 To pay the costs of forming the Association

3.13 To obtain and pay for goods and services as are necessary for carrying out the work of the charity

3.14 To open and operate bank and other accounts as the committee members/trustees consider necessary

3.15 To do anything else within the law that promotes the Objects

BUT the Committee shall not undertake any activity in the school premises without the consent of the headteacher.

4. MEMBERSHIP

Members of the Association are:

4.1 The parents, guardians or carers of any pupil currently attending the school.

4.2 Membership is terminated if:

4.2.1 The Member dies

4.2.2 The Member resigns by written notice to the Association

4.2.3 The committee members/trustees may for good reason, regardless of whether or not this is at the request of the Governing Body or the headteacher, exclude any person from membership or from attending an event whose presence at or support of the school is deemed a danger to the school or its pupils or staff or might bring the Association into disrepute. Removal is not effective until the Member concerned has been notified in writing of the proposal and his/her right to respond within 14 clear days, and the matter has been considered in light of any representations made.
5. GENERAL MEETINGS

A General meeting is a formal gathering of the members. These can either be Annual or Extraordinary (AGM or EGM).

5.1 All Members are entitled to attend any General meeting of the Association.

5.2 All General meetings are called by giving 21 clear days written notice of the meeting to the Members. The notice should specify the date, time and location of the General meeting as well as give an overview of the agenda.

5.3 There is a quorum at a General meeting when the number of Members present is at least twice the number of committee members/trustees in office at the start of the meeting. The only exception would be at a General meeting where the Association is being dissolved: please see Clause 13.

5.4 The Chair of the meeting, who has a second or casting vote where a vote is equally divided (tied), every Member present is entitled to one vote on every issue.

5.5 An AGM is essential to the running of the Association. They give a regular opportunity for members to review its activities.

5.8.1 receive the accounts of the Association for the previous financial year.

5.8.2 receive the report of the committee members/trustees on the Association's activities since the previous AGM.

5.8.3 elect the committee members/trustees.

5.8.4 appoint an independent examiner or auditor for the Association.

5.8.5 may confer on any individual (with his or her consent) the honorary title of Patron, President or Vice-President of the Association.

5.8.6 discuss and determine any issues of policy or deal with any other business put before them.

5.9 An EGM may be called at any time by the Committee and must be called within 21 days to happen within three months of a written request to the receiving committee members/trustees from at least ten members.

5.2 How notice of a General meeting should be given to members is dealt with in Clause 11, and 'written' is defined in clause 14.1. When giving notice each 'day' starts at midnight, and the day on which notice is given does not count.

5.3 A Quorum is the minimum number of members of an Association that must attend the meeting for it to be valid.

5.5 It should be noted that the required majority is not a majority of the members present at the meeting but of the votes cast.

5.6 The casting vote given to the person who is in charge of the meeting is intended to enable the meeting to proceed with its business. It is usual for the casting vote to be made in favour of allowing further debate on the matter on a future occasion.

5.7/ An AGM is essential to the running of the Association. They give a regular opportunity for members to review its activities.

5.8.4 PTA-UK requires all Associations to have their accounts independently examined. This is a stipulation of the PTA-UK subscription linked insurance for cover against fraud or dishonesty by a Treasurer or another committee member/trustee. For further information on auditing arrangements required by the Charity Commission, please refer to Clause 10.

5.8.5 A Patron, President or Vice-President has no constitutional responsibilities meaning they cannot vote at Committee meetings. However, they may be invited to address members or to represent the Association on formal occasions or when seeking public support.

5.9 If an urgent or important matter (such as the amendment of the Constitution) which must be decided at a General meeting cannot conveniently be dealt with at an AGM, then an additional meeting needs to take place. This meeting is called an Extraordinary General meeting.
6. THE COMMITTEE

This clause sets out the composition of the charity's Governing Body. At start up and prior to the first AGM, when formal elections can be held, a steering group can be formed by the people that are listed in clause 4.1. It is expected that an AGM and formal elections will be held as soon as possible after start up. If an AGM is held to adopt the constitution this would also provide an opportunity to hold elections.

Those who start as committee members/trustees and any people who are subsequently appointed at an AGM must be members (under clause 4.1). Co-opted members, who are not appointed at the AGM, are not required to be members, but if they wish to be elected to the Committee at the next AGM, they must be a Member.

Every committee member/trustee, however appointed, is legally a charity trustee and owes a duty towards the charity (in this case, the Association) rather than to whoever has made the appointment.

Under section 83 of the Charities Act 1993 as amended by the Charities Act 2006 a written memorandum should be executed whenever a committee member/trustee is elected or appointed. This can be done by recording the outcome in the minutes of the AGM at which the election of committee member/trustees takes place.

6.2 This provides for the committee members/trustees to be elected each year at the AGM

6.2.1 It is usually helpful to allow the Committee to co-opt a number of its members, for example to fill a vacancy that arises during the year or to enlist members with particular skills. The total number of co-opted committee members/trustees must not be more than 50% of the total number of all other committee members/trustees. So, if your Committee has eight committee members/trustees, it is possible to have up to four co-opted committee members/trustees.

6.3.1 There are various reasons why a committee member/trustee would have to cease being a Member of the Committee:

6.3.1.1 The Charities Act 1993, as amended by the Charities Act 2006, details various reasons why a committee member/trustee would be disqualified, including any conviction involving deception or dishonesty, unless legally spent; undischarged bankruptcy; removal from being a company director or being subject to orders under the Insolvency Act

6.3.2 Embarrassing problems can arise when a committee member/trustee becomes too ill or infirm to be expected to take full responsibility for the task. The Committee may wish to make a rule under clause 8.2 to require a committee member/trustee who appears incapable to undergo a medical examination. It is anticipated that such a request would in most cases lead to a voluntary resignation

6.5.1 Committee members/trustees should not be able to walk away from their responsibilities without leaving at least two remaining committee members/trustees. Any Association
without at least two committee
members/trustees in place will therefore be
forced to dissolve (see Clause 13).

6.5.6 This is a wise precaution. Keep in mind though:
that if someone is removed as a member under
case 4.2, they are automatically removed as a
committee member/trustee under 6.5.4. If
someone is unsuitable to be a committee
member/trustee but you are happy for them to
remain as a member then remove them under
this clause, and they can still continue to be a
member. Co-opted committee
members/trustees who are not members, can
only be removed under this clause

6.5 All committee members/trustees are entitled
to recover from the Association whatever funds
they may have had to pay out as a consequence
of running the Association, eg paying for goods
or services ordered by them and supplied to the
charity.

6.8 Occasionally a mistake occurs in appointment
procedures. If a mistake of this kind is discovered
it does not retrospectively invalidate previous
decisions but should be put right before further
decisions are taken.

7. COMMITTEE MEETINGS
This Clause deals with the meetings and
proceedings of the Committee.

7.1 The Committee can decide the number of times it
needs to meet to carry out its work but the
minimum number is three during an academic
year.

7.2 This clause provides a formula for calculating the
quorum. This is the minimum number of
committee members/trustees who must be
present for the Committee meeting to be valid. For
example, for a Committee with ten committee
members/trustees, a quorum would be reached
with five committee members/trustees.

7.4 It may be necessary for the Association to make a
decision outside of the Committee meetings. This
can be done by written resolution but has to be
signed by all committee members/trustees. This
needs to be passed unanimously because decisions
taken by written resolution do not allow an
opportunity to debate an issue as would happen at
a meeting.

7.5 The casting vote is given to the person who is in
charge of the meeting and is intended to enable
the meeting to proceed with its business. It is usual
for the casting vote to be given in favour of
allowing further debate on the matter on a future
occasion.

7.8 A technical defect in the appointment of a committee member/
trustee of which the Committee are unaware at the time does not
invalidate decisions taken at a meeting
8. POWERS OF COMMITTEE

8.1 The Committee is legally responsible for the actions of any sub-committee. It is therefore prudent for each sub-committee to include at least one committee member/trustee. The Committee should define the responsibilities of each sub-committee with care. It is essential in all cases for sub-committees to report back to the main Committee. Whilst the power to delegate is not limited to any particular function, it is usually appropriate for the final decision on major matters of policy or resources to be taken by the main Committee.

8.2 The Committee is allowed to make rules to govern different aspects of the running of the Association that are not already governed by this document.

9. PROPERTY & FUNDS

9.1 Any property of the Association is held on trust to achieve the Objects and does not belong to the members.

9.2 The Charities Act 2006 allows trustees to receive personal benefit from the charity. However, it is recommended that this is carefully managed. This clause sets out the precautionary measures that are required.

9.3 The committee member/trustee should not take part in making any decision from which they might personally benefit.

8. POWERS OF COMMITTEE

The following powers are available to the Committee to help run the Association:

8.1 To delegate any functions of the Committee to sub-committees. These must consist of two or more persons appointed by the Committee but at least one member of every sub-committee must be a committee member/trustee. All sub Committee proceedings must be promptly reported to the main Committee.

8.2 To make Rules consistent with this Constitution about the Committee and sub-committees, to govern proceedings at General meetings and generally about the running of the Association including the operation of bank accounts and the commitment of funds.

9. PROPERTY & FUNDS

9.1 The property and funds of the Association must only be used to fulfil the Objects (see clause 2).

9.2 Committee members/trustees can enter into contracts with the Association for the provision of goods and services to the Association (but not contracts of employment with the Association except with the prior written consent of the Charity Commission) provided that:

9.2.1 the maximum amount is set out in writing and is reasonable for the services provided

9.2.2 the committee members/trustees are satisfied that the agreement is in the interests of the charity before entering into it

9.2.3 the total number of committee members/trustees entitled to such remuneration is in the minority from time to time.

9.3 Whenever a committee member/trustee has a personal interest in a matter to be discussed at a meeting, the committee member/trustee must:

9.3.1 declare an interest before discussion begins on the matter

9.3.2 withdraw from that part of the meeting unless expressly invited to remain in order to provide information

9.3.3 not be counted in the quorum for that part of the meeting

9.3.4 withdraw during the vote and have no vote on the matter.
10. RECORDS & ACCOUNTS

10.1 The keeping of adequate records is essential if the Association is to be properly run. The need for a charity to have its accounts either independently examined or professionally audited is a requirement of charity law and is determined by the level of annual income. The current threshold for charity accounts to be independently examined is £25,000. The independent examination does not have to be conducted by an auditor and can be done by a person the Committee reasonably believes to have the required ability and practical experience. For example, a school bursar or teacher. The person chosen must not be involved with the Committee nor be a member of their family.

Audit requirements increase for charities with an income over £350,000 and £500,000. Further information is available from PTA-UK or the Charity Commission.

Please see 5.8.4 for further information on the requirement for an independent examination of accounts which is a stipulation of PTA-UK insurance cover.

10.1.1 annual reports
10.1.2 annual returns
10.1.3 annual statements of account
10.2 The Committee must keep proper records of:
10.2.1 all proceedings at General meetings
10.2.2 all proceedings at Committee meetings
10.2.3 all reports of sub-committees
10.3 Annual reports and statements of account relating to the Association must be made available for inspection by any member of the Association.
10.4 The Committee must notify the Charity Commission promptly of any changes to the Association's entry on the Register of Charities.

10. RECORDS & ACCOUNTS

10.1 The Committee must comply with the requirements of the Charities Act 1993 as amended by the Charities Act 2006 as to the keeping of financial records, the audit or independent examination of accounts and the preparation and transmission to the Charity Commission of:

10.1.1 annual reports
10.1.2 annual returns
10.1.3 annual statements of account
10.2 The Committee must keep proper records of:
10.2.1 all proceedings at General meetings
10.2.2 all proceedings at Committee meetings
10.2.3 all reports of sub-committees
10.3 Annual reports and statements of account relating to the Association must be made available for inspection by any member of the Association.
10.4 The Committee must notify the Charity Commission promptly of any changes to the Association's entry on the Register of Charities.

11. NOTICES

Reference is made to 'notice' in various places in the Constitution (see clauses 4, 5.2, 6.5, 5 and 12.1). This clause makes clear how members should be notified. What is meant by 'written' is defined in Clause 14.

11.1 Notice of any General meeting of the Association may be sent by hand, by post, by suitable electronic communication (email) or in any newsletter distributed by the Association to its Members.

11.2 The address at which a Member is entitled to receive notices (if sent by post) is the last known address of the Member.

11.3 A technical defect in the giving of notice which the Members or committee members/trustees are unaware of at the time does not invalidate decisions taken at a General meeting.

11.4 Notice of any General meeting of the Association may be sent by hand, by post, by suitable electronic communication (email) or in any newsletter distributed by the Association to its Members. Notification by hand may include distribution to parents, guardians and carers via their children with or without other communications from the school.

11.5 The address at which a Member is entitled to receive notices (if sent by post) is the last known address of the Member.

11.6 A technical defect in the giving of notice which the Members or committee members/trustees are unaware of at the time does not invalidate decisions taken at a General meeting.

12. AMENDMENTS

This Constitution may be amended at a General meeting of the Association by a two-thirds majority of the votes cast, but:

12.1 The Members must be given 21 clear days' notice of the proposed amendments.
12.2 No amendment is valid if it would make a fundamental change to the Objects/clause 2 or destroy the charitable status of the Association and no amendment may be made to clause 9 without the prior written consent of the Charity Commission.
12.3 A copy of any resolution amending this constitution must be sent to the Charity Commission within 21 days of it being passed.
clause, certain amendments are not valid, ie if adopted they will not count as amendments and will not be registered by the Charity Commission. The two cases are:

1. where there is a fundamental change in the Objects, ie where the new purposes are of a kind which a previous supporter could not reasonably be expected to have foreseen when contributing to the Association's funds

2. where the change in the Constitution would cause the charity to become a non-charitable body. Both issues need to be considered for all proposed amendments. It will normally be appropriate to seek legal advice or consult the Charity Commission before the proposed amendments are drawn up.

12.3 The Charity Commission should be notified promptly of all amendments that are made. Any amendment made to the PTA-UK Model Constitution prior to charity registration needs to be agreed directly with the Charity Commission and therefore would disqualify the application for registration through the PTA-UK fast track registration scheme.

13. DISSOLUTION

It is not unusual for unincorporated charitable Associations to reach the end of their useful life and decide to dissolve. This clause provides that such a decision may be made at an EGM or an AGM where correct notice is given. Thereafter, the debts and liabilities must be paid. Any remaining assets must be used for furthering the Objects or for charitable purposes which are within or similar to the Objects.

13.1 The Association may be dissolved by a resolution presented at an EGM or an AGM where this is included in the notice of the meeting. The resolution must have the agreement of two thirds of those voting and must give instructions for the disposal of any assets remaining after paying the outstanding debts and liabilities of the Association.

13.2 The net assets shall not be distributed among the Members of the Association but will be given to the school for the benefit of the pupils of the school. In the event of the school closing any remaining funds could be distributed to a neighbouring school or schools as selected by the Committee.

13.3 If it is not possible to dispose of assets as described in clause 13.2 then the assets can be given to another charitable cause provided that the cause is within the Objects of the Association.

13.4 The Trustees must notify the Charity Commission promptly that the Association has been dissolved. The Trustees must comply with any request from the Commission including providing the Association's final accounts.
ADOPTED AT A MEETING HELD

AT
SURREY ASSEMBLY ROOMS, 138 MAPLE ROAD,
KINGSTON, KT6 4RT
ON 4 JUNE 2015

NAME
JOANNA COBLEY

OCCUPATION
GEOPHYSICIST

SIGNATURE

(Name and signature of Chair of meeting)

WITNESS NAME
ROSEMARY CLARKE

ADDRESS
10 HIGH CEDAR DRIVE, LONDON, SW20 GNU.

OCCUPATION
CHARTERED ACCOUNTANT

SIGNATURE

(Name, address, occupation and signature of witness)

14. INTERPRETATION

14.1 In this Constitution:
- address: means a postal address or, for the purposes of electronic communication, a fax number, an e-mail address or a text message number in each case registered with the charity
- AGM: means an annual general meeting of the Members of the Association

- the Association: means the charity comprised in this constitution
- the Chair: means the Chair of the Association elected at the AGM
- charity trustees: has the meaning prescribed by section 97(1) of the Charities Act 1993 as amended by the Charities Act 2006. Every committee member/trustee is legally a charity trustee.
- clear day: means 24 hours from midnight following the triggering event
- the Commission: means the Charity Commission for England and Wales: charitycommission.gov.uk
- the Committee: is the Governing Body of the Association and includes all elected and co-opted committee members/trustees
- committee member/trustee: means a Member of the Committee elected at the AGM by the Membership
- co-opted committee member/trustee: means a Member of the Committee appointed by the committee members/trustees in accordance with clause 6
- EGM: means a general meeting of the Members of the Association which is not an AGM
- fundamental change: means a change that would not have been within the reasonable contemplation of a person making a donation to the Association
- general meetings: means any AGM or EGM
- Governing Body: means the Governing Body of the school
- headteacher: means the headteacher or Principal of the school
- independent examiner: has the meaning prescribed by section 43(3)(a) of the Charities Act 1993
- Member and Membership: refer to Members of the Association as set out in clause 4
- months: means calendar months
- the Objects: means the charitable Objects of the Association set out in clause 2
- permanent trading: means carrying on a trade or business on a continuing basis for the principal purpose of raising funds and not for the purpose of actually carrying out the Objects
- written or in writing: refers to a legible document on paper including a fax message or an electronic communication where the Member or co-opted committee member/trustee has agreed to receipt of notices by electronic means
- year: means calendar year

14.2 References to an Act of Parliament are references to the Act as amended or re-enacted from time to time and to any subordinate legislation made under it.